

## JOSEPH POLIZZOTTO

Joseph Polizzotto served as Managing Director and General Counsel for the Americas of Deutsche Bank, from March 2009 to June 2014. He worked briefly at Barclays Capital after serving as the General Counsel of Lehman Brothers from 1999 to October 2008. Previously, he was the Global Director of Litigation and Regulatory at Lehman, and was also the Director of Litigation at Lehman's predecessor, Shearson Lehman Brothers, which he joined in 1989. Mr. Polizzotto is a 1975 graduate of Columbia College and a 1978 graduate of New York University School of Law, where he was a Note Editor of the Law Review. He started his legal career as a law clerk to the Honorable Joseph F. Weis, Jr., Circuit Judge, United States Court of Appeals for the Third Circuit. From 1980 to 1989, Mr. Polizzotto was with the law firm of Cadwalader, Wickersham & Taft, where he practiced in the litigation area. He has appeared before the United States Supreme Court, arguing on behalf of Shearson in Mastrobuono v. Shearson Lehman Hutton Inc., 514 U.S. 52 (1995). Mr. Polizzotto is involved in a number of industry activities and is a past Chair of the Securities Industry Association's (now SIFMA) Federal Regulation Committee. In recognition of his work on behalf of the securities industry, Mr. Polizzotto received a Distinguished Leadership Award from the Securities Industry Association in November 2002. Mr. Polizzotto has also been active in alumni affairs at NYU Law School, where he has served both as a past President of the NYU Law Review Alumni Association and as the Chair of the Reunion Committee for both his 25<sup>th</sup> and 35<sup>th</sup> anniversary classes. He is a member of the Boards of Trustees of the Berkeley Carroll School and the New York Legal Assistance Group ("NYLAG"). Mr. Polizzotto is a frequent speaker on issues relating to in-house legal departments, corporate governance and banking industry matters.